

1903637157

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
09/763712

INTERNATIONAL APPLICATION NO.
PCT/JP99/04552INTERNATIONAL FILING DATE
24 August 1999PRIORITY DATE CLAIMED
24 August 1998

TITLE OF INVENTION
NOVEL COLLECTIN

APPLICANT(S) FOR DO/EO/US
WAKAMIYA, Nobutaka

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. A copy of the International Search Report (PCT/ISA/210).
8. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
9. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. A **FIRST** preliminary amendment.
16. A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. A substitute specification.
18. A change of power of attorney and/or address letter.
19. Certificate of Mailing by Express Mail
20. Other items or information:

Computer Readable Copy of Sequence Listing

Statement Regarding Sequence Listing Pursuant to 37 C.F.R. 1.825 (a) and 1.825(b)

Return Receipt Postcard

U.S. APPLICATION NO. (IF KNOWN, SEE BACK) 09/76371	INTERNATIONAL APPLICATION NO. PCT/JP99/04552	ATTORNEY'S DOCKET NUMBER 19036/37157																			
21. The following fees are submitted:		CALCULATIONS PTO USE ONLY																			
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):																					
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but Internation Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00																					
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$860.00																			
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).		<input type="checkbox"/> 20 <input type="checkbox"/> 30 \$0.00																			
<table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>59 - 20 =</td> <td>0 19</td> <td>x \$18.00 \$0.00</td> </tr> <tr> <td>Independent claims</td> <td>4 - 3 =</td> <td>0 /</td> <td>x \$80.00 \$0.00</td> </tr> <tr> <td colspan="2">Multiple Dependent Claims (check if applicable).</td> <td><input type="checkbox"/></td> <td>\$0.00</td> </tr> <tr> <td colspan="2">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$860.00</td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	59 - 20 =	0 19	x \$18.00 \$0.00	Independent claims	4 - 3 =	0 /	x \$80.00 \$0.00	Multiple Dependent Claims (check if applicable).		<input type="checkbox"/>	\$0.00	TOTAL OF ABOVE CALCULATIONS =		\$860.00	
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Total claims	59 - 20 =	0 19	x \$18.00 \$0.00																		
Independent claims	4 - 3 =	0 /	x \$80.00 \$0.00																		
Multiple Dependent Claims (check if applicable).		<input type="checkbox"/>	\$0.00																		
TOTAL OF ABOVE CALCULATIONS =		\$860.00																			
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).		<input type="checkbox"/> \$0.00																			
SUBTOTAL =		\$860.00																			
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).		<input type="checkbox"/> 20 <input type="checkbox"/> 30 + \$0.00																			
TOTAL NATIONAL FEE =		\$860.00																			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		<input type="checkbox"/> \$0.00																			
TOTAL FEES ENCLOSED =		\$860.00																			
		Amount to be: refunded \$																			
		charged \$																			

- A check in the amount of **\$860.00** to cover the above fees is enclosed.
- Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **13-2855** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

HOPKINS, Mark H.
MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN
6300 Sears Tower
233 S. Wacker Drive
Chicago, Illinois 60606
U.S.

Mark H. Hopkins

NAME

44,775

REGISTRATION NUMBER

2/26/01

DATE

04/763712

JC09 Rec'd RCT/PTO 26 FEB 2001

PATENT APPLICATION

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

CERTIFICATION UNDER 37 CFR 1.10

Box PCT
Commissioner for Patents
Washington, D.C. 20231

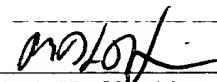
I hereby certify the attached items are being deposited with the United States Postal Service on February 26, 2001 in an envelope addressed to Box PCT, Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing No. EL564457185US:

- a. Transmittal letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. 371 with enclosures;
 - b. Translation of the International Application (47 pages specification: 16 pages claims; 1 page abstract; 17 pages sequence listing; 11 pages figures);
 - c. Copy of the International Search Report;
 - d. Copy of the International Preliminary Examination Report;
 - e. Computer-readable Copy of the Sequence Listing;
 - f. Preliminary Amendment A;

04/763712

JC02 ~~RE~~d PCT/PTO 26 FEB 2001

- g. Statement Regarding Sequence Listing Pursuant to 37 C.F.R.
§1.825(a) and §1.825(b);
- h. A check in the amount of \$860; Check No. 63153;


Mark H. Hopkins

Date: February 26, 2001